

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Edward O. Shaffer II et al.

Serial No.: 09/933,535

Group Art Unit: 2811

Filed: August 20, 2001

Examiner: Unknown

For: ORGANOSILICATE RESINS AS HARDMASKS FOR ORGANIC  
POLYMER DIELECTRICS IN FABRICATION OF MICROELECTRONIC  
DEVICES

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
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PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE

*Joslyn M. Damore*  
SIGNATURE OF PERSON SIGNING CERTIFICATE  
*16 November 2001*  
DATE OF SIGNATURE

**INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 C.F.R. §§ 1.56 AND 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

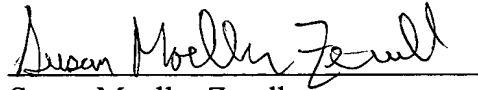
Pursuant to Applicant's duty of disclosure under 37 CFR §1.56 and § 1.97,  
the Examiner's attention is directed to the information identified in the attached Form  
PTO 1449. Applicant has enclosed a copy of each reference on this Form.

Applicant requests that these references be reviewed, considered, and made of  
record. Applicant further requests that the Examiner initial the enclosed Form PTO-  
1449 indicating the Examiner has reviewed the references and return a copy of the  
initialed Form to Applicant.

USSN 09/933,535

This Statement is being submitted before the mailing date of the first Office Action on the merits. Under 37 C.F.R. §1.97(b)(3), submission of this Statement requires no fee. If this is incorrect, please charge any fees required to Deposit Account No. 04-1512.

Respectfully submitted,



Susan Moeller Zerull  
Registration No. 38,367  
Phone: (989) 636-8858

P. O. Box 1967  
Midland, MI 48641-1967

jmd

## INFORMATION DISCLOSURE STATEMENT

ATTY DOCKET NO.

60393B

SERIAL NO.

09/933,535

APPLICANT

Edward O. Shaffer II et al.

FILING DATE

August 20, 2001

GROUP

2811

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE         | NAME                | CLASS | SUB-<br>CLASS | FILING DATE<br>IF APPROPRIATE |
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|                     | 4,812,588          | 14 Mar. 1989 | Schrock             | 556   | 453           | 14 Dec. 1987                  |
|                     | 5,115,082          | 19 May 1992  | Mercer et al.       | 528   | 219           | 17 Sept. 1990                 |
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| WO 91/09081        | 27 June 1991        | PCT                         | C 08 K | 5/27          |                         |
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| WO 97/01593        | 16 Jan. 1997        | PCT                         | C 08 G | 65/40         |                         |


## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

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|--|-----------------------------|-------|------------|
|  | ATTY DOCKET NO.             |       | SERIAL NO. |
|  | 60393B                      |       | 09/933,535 |
|  | APPLICANT                   |       |            |
|  | Edward O. Shaffer II et al. |       |            |
| FILING DATE  |                             | GROUP |            |
| August 20, 2001  |                             | 2811  |            |

(Use Several Sheets if necessary)

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE         | NAME          | CLASS | SUB-<br>CLASS | FILING DATE<br>IF APPROPRIATE |
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## FOREIGN PATENT DOCUMENTS

| DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS  | SUB-<br>CLASS | TRANSLATION<br>YES   NO |
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## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

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